

A Deep Embedding of a Decidable Fragment of Separation Logic in HOL

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ARG Lunch, 26th June 2006

Overview

Motivation

Basic Definitions

A Decision Procedure for Entailments

HOL embedding

Example

Conclusions and Future Work

Smallfoot

- "Smallfoot is an automatic verification tool which checks separation logic specifications of concurrent programs which manipulate dynamically-allocated recursive data structures." (Smallfoot documentation)
- developed by
 - Cristiano Calcagno
 - Josh Berdine
 - Peter O'Hearn

Smallfoot II

Example from `list.sf`

```
list_copy(p) [list(p)] {
  local t;
  t = p;
  q = NULL;
  while(t != NULL) [list(q) * lseg(p,t) * list(t)] {
    sq = q;
    q = new();
    q->t1 = sq;
    t = t->t1;
  }
} [list(p) * list(q)]
```

Motivation

- we deeply embedded the fragment of separation logic used by Smallfoot in HOL
- a decision procedure for entailments has been implemented in HOL
- this formalisation may increase the trust in Smallfoot
- it may be used as a basis for non decidable fragments of separation logic and an interactive proof environment
- it may be extended to a HOL implementation of Smallfoot

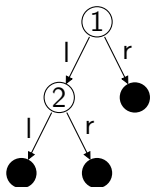
Pure Formulae

- an **expression** is either a constant or a variable
- **nil** is a special constant
- a **stack** is a variable assignment
- **pure formulae** are inductively defined by
 - true
 - $e_1 \doteq e_2, e_1 \neq e_2$
 - $pf_1 \wedge pf_2$
- the semantics of pure formulae with respect to a stack are defined in the natural way

Spatial Formulae

- a **heap** is finite map $h : (\text{Values} \setminus \{\text{nil}\}) \xrightarrow{\text{fin}} \text{Fields} \xrightarrow{\text{fin}} \text{Values}$
- **spatial formulae** are inductively defined by
 - emp
 - $e \hookrightarrow [t_1 : e_1, \dots, t_n : e_n]$
 - $sf_1 * sf_2$
 - $\text{tree}((t_1, \dots, t_n), es, e)$
- list segments and binary trees are defined as syntactic sugar
 - $\text{bin-tree}(l, r, e) := \text{tree}((l, r), \text{nil}, e)$
 - $\text{ls}(tl, e_1, e_2) := \text{tree}(tl, e_2, e_1)$

Spatial Formulae II

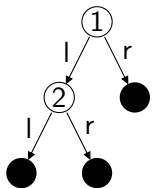


$$s(x_i) := i$$

$$h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$$

$\text{bin-tree}(l, r, x_1)$

Spatial Formulae II

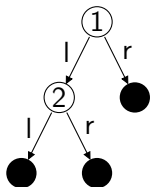


$$s(x_i) := i$$

$$h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$$

$$\text{tree}((l, r), \text{nil}, x_1)$$

Spatial Formulae II

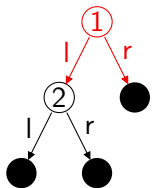


$$s(x_i) := i$$

$$h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$$

$$\exists e_l, e_r. x_1 \hookrightarrow [l : e_l, r : e_r] * \text{tree}((l, r), nil, e_l) * \text{tree}((l, r), nil, e_r)$$

Spatial Formulae II

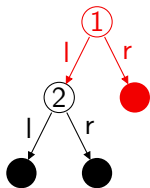


$$s(x_i) := i$$

$$h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$$

$$x_1 \hookrightarrow [l : 2, r : nil] * \text{tree}((l, r), \text{nil}, 2) * \text{tree}((l, r), \text{nil}, \text{nil})$$

Spatial Formulae II

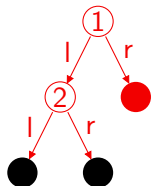


$$s(x_i) := i$$

$$h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$$

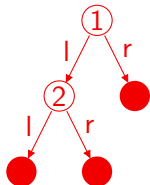
$$x_1 \hookrightarrow [l : 2, r : nil] * \text{tree}((l, r), \text{nil}, 2) * \text{tree}((l, r), \text{nil}, \text{nil})$$

Spatial Formulae II


 $s(x_i) := i$
 $h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$

$$x_1 \hookrightarrow [l : 2, r : nil] * 2 \hookrightarrow [l : nil, r : nil] * \\ \text{tree}((l, r), nil, nil) * \text{tree}((l, r), nil, nil) * \text{tree}((l, r), nil, nil)$$

Spatial Formulae II


 $s(x_i) := i$
 $h := [1 \rightarrow [l \rightarrow 2, r \rightarrow nil], 2 \rightarrow [l \rightarrow nil, r \rightarrow nil]]$

$$x_1 \hookrightarrow [l : 2, r : nil] * 2 \hookrightarrow [l : nil, r : nil] * \\ \text{tree}((l, r), nil, nil) * \text{tree}((l, r), nil, nil) * \text{tree}((l, r), nil, nil)$$

Entailments

- entailments are important for Smallfoot
- example from `list.sf`

$$\begin{aligned} &x_0 \neq \text{nil}, x_1 \neq \text{nil}, x_2 \neq \text{nil}, x_0 \neq x_3, x_0 \neq x_2, x_4 \neq x_5, \\ &x_1 \neq x_3, x_1 \neq x_2, x_3 \neq x_2, \\ &x_2 \hookrightarrow [hd : x_5, tl : x_3], \text{ls}(tl, x_3, \text{nil}), \text{ls}(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \vdash \\ &\text{ls}(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], \text{ls}(tl, x_3, \text{nil}) \end{aligned}$$

- entailments in this fragment of separation logic are decidable
- inferences can be easily combined to form a decision procedure
- inferences and decision procedure are presented in

Josh Berdine, Cristiano Calcagno, and Peter W. O'Hearn.
Symbolic Execution with Separation Logic.
In K. Yi (Ed.): APLAS 2005, LNCS 3780, pp. 52–68, 2005.

RemoveTrivial

REMOVE TRIVIAL-EQ-L

 $\Pi, \Sigma \vdash \Pi', \Sigma'$ $\frac{}{e \doteq e, \Pi, \Sigma \vdash \Pi', \Sigma'}$

REMOVE TRIVIAL-EQ-R

 $\Pi, \Sigma \vdash \Pi', \Sigma'$ $\frac{}{\Pi, \Sigma \vdash e \doteq e, \Pi', \Sigma'}$

REMOVE TRIVIAL-EMPTREE-L

 $\Pi, \Sigma \vdash \Pi', \Sigma'$ $\frac{}{\Pi, \text{tree}((t_1, \dots, t_k), e, e), \Sigma \vdash \Pi', \Sigma'}$

REMOVE TRIVIAL-EMPTREE-R

 $\Pi, \Sigma \vdash \Pi', \Sigma'$ $\frac{}{\Pi, \Sigma \vdash \Pi', \text{tree}((t_1, \dots, t_k), e, e), \Sigma'}$

Hypothesis

$$\frac{\text{HYPOTHESIS} \quad pf, \Pi, \Sigma \vdash \Pi', \Sigma'}{pf, \Pi, \Sigma \vdash pf, \Pi', \Sigma'}$$

Axiom / Inconsistent

AXIOM

$$\overline{\Pi \vdash}$$

INCONSISTENT-UNEQUAL

$$\overline{e \neq e, \Pi, \Sigma \vdash \Pi', \Sigma'}$$

INCONSISTENT-POINTSTO-NIL

$$\overline{\Pi, \text{nil} \leftrightarrow [\dots], \Sigma \vdash \Pi', \Sigma'}$$

Frame

$$\frac{\text{FRAME-BASE} \quad \Pi, \Sigma \vdash \Pi', \Sigma'}{\Pi, sf, \Sigma \vdash \Pi', sf, \Sigma'}$$

- problem: this is a real implication, information is lost
- thus, order of inference application matters
- example: $e \hookrightarrow [f : e_1], e \hookrightarrow [g : e_2] \vdash e \hookrightarrow [f : e_1]$
- solution: add additional informations to entailments

Frame II

FRAME-POINTS_TO

$$\frac{e, \eta, \pi, \Pi, \Sigma \vdash \Pi', \Sigma'}{\eta, \pi, \Pi, e \hookrightarrow [t_1 : e_1, \dots, t_n : e_n], \Sigma \vdash \Pi', e \hookrightarrow [t_1 : e_1, \dots, t_m : e_m], \Sigma'} \quad m \leq n$$

FRAME-TREE

$$\frac{\eta, (e, es), \pi, \Pi, \Sigma \vdash \Pi', \Sigma'}{\eta, \pi, \Pi, \text{tree}((t_1, \dots, t_k), es, e), \Sigma \vdash \Pi', \text{tree}((t_1, \dots, t_k), es, e), \Sigma')}$$

- all used inferences are equivalences
- order of application does not matter
- continued applications of inferences will terminate

Substitution

SUBSTITUTION

$$\eta[e/x], \pi[e/x], \Pi[e/x], \Sigma[e/x] \vdash \Pi'[e/x], \Sigma'[e/x]$$

$$\eta, x \doteq e, \pi, \Pi, \Sigma \vdash \Pi', \Sigma'$$

NIL-NOT-LVAL

NIL-NOT-LVAL-POINTSTO

 $\eta, \pi, e \neq \text{nil}, \Pi, e \hookrightarrow [\dots], \Sigma \vdash \Pi', \Sigma'$ $\eta, \pi, \Pi, e \hookrightarrow [\dots], \Sigma \vdash \Pi', \Sigma'$

NIL-NOT-LVAL-TREE

 $\eta, \pi, e \neq \text{nil}, e \neq es, \Pi, \text{tree}(\dots, es, e), \Sigma \vdash \Pi', \Sigma'$ $\eta, \pi, e \neq es, \Pi, \text{tree}(\dots, es, e), \Sigma \vdash \Pi', \Sigma'$

side condition

In order to prevent looping only new facts are added.

Partial

PARTIAL-POINTSTO-POINTSTO

$$\frac{\eta, \pi, e_1 \neq e_2, \Pi, e_1 \hookrightarrow [\dots], e_2 \hookrightarrow [\dots], \Sigma \vdash \Pi', \Sigma'}{\eta, \pi, \Pi, e_1 \hookrightarrow [\dots], e_2 \hookrightarrow [\dots], \Sigma \vdash \Pi', \Sigma'}$$

PARTIAL-POINTSTO-TREE

$$\frac{\eta, \pi, e_1 \neq e_2, e_2 \neq e_3, \Pi, e_1 \hookrightarrow [\dots], \text{tree}(\dots, e_3, e_2), \Sigma \vdash \Pi', \Sigma'}{\eta, \pi, e_2 \neq e_3, \Pi, e_1 \hookrightarrow [\dots], \text{tree}(\dots, e_3, e_2), \Sigma \vdash \Pi', \Sigma'}$$

⋮

side condition

In order to prevent looping only new facts are added.

Simple Unroll

UNROLL-RIGHT-LIST

$$e_1, \eta, \pi, e_1 \neq e_3, \Pi, \Sigma \vdash \Pi', \text{ls}(tl, e_2, e_3), \Sigma'$$

$$\eta, \pi, e_1 \neq e_3, \Pi, e_1 \hookrightarrow [tl : e_2, \dots], \Sigma \vdash \Pi', \text{ls}(tl, e_1, e_3), \Sigma'$$

UNROLL-RIGHT-BINTREE

$$e, \eta, \pi, \Pi, \Sigma \vdash \Pi', \text{bin-tree}(l, r, e_l), \text{bin-tree}(l, r, e_r), \Sigma'$$

$$\eta, \pi, \Pi, e \hookrightarrow [l : e_l, r : e_r, \dots], \Sigma \vdash \Pi', \text{bin-tree}(l, r, e), \Sigma'$$

UNROLL-NILLIST

$$\eta, \pi, e \doteq \text{nil}, \Pi, \Sigma \vdash \Pi', \Sigma'$$

$$\eta, \pi, \Pi, \text{ls}(tl, \text{nil}, e), \Sigma \vdash \Pi', \Sigma'$$

UNROLL-PRECOND-LIST

$$e_1, \eta, \pi, e_1 \doteq e_2, \Pi, \Sigma \vdash \Pi', \Sigma'$$

$$e_1, \eta, \pi, \Pi, \text{ls}(tl, e_1, e_2), \Sigma \vdash \Pi', \Sigma'$$

Unroll

UNROLL-LIST

$$\frac{\eta, \pi, e_1 \doteq e_2, \Pi, \Sigma \vdash \Pi', \Sigma' \quad \forall x. \eta, \pi, e_1 \neq e_2, e_2 \neq x, \Pi, e_1 \hookrightarrow [fl : x], x \hookrightarrow [fl : e_2], \Sigma \vdash \Pi', \Sigma'}{\eta, \pi, \Pi, ls(tl, e_1, e_2), \Sigma \vdash \Pi', \Sigma'}$$

- logic can not consider the content of a list
- therefore, two cases are sufficient
- no induction needed!
- similar inference exists for arbitrary trees
- however, in general useful for decision procedure

Append-list

APPEND-LIST

$$\frac{\eta, (e_1, e_2), \pi, e_1 \neq e_3, \Pi, \Sigma \vdash \Pi', ls(tl, e_2, e_3), \Sigma'}{\eta, \pi, e_1 \neq e_3, \Pi, ls(tl, e_1, e_2), \Sigma \vdash \Pi', ls(tl, e_1, e_3), \Sigma'}$$

- this inference holds under some complicated side condition
- it is preferable to unrolling lists
- its correctness proof uses unrolling of lists and simple unrolls and the frame inference

Decision Procedure

- these inferences can be easily combined to form a decision procedure for entailments
- apply inferences in arbitrary order as long as possible
- be careful with NIL-NOT-LVAL, Partial, Hypothesis to avoid looping
- iff the entailment could not be reduced to true, it is false
- remaining entailments are as simple, that a concrete counterexample can be easily constructed

HOL embedding

- deep embedding in HOL is straight forward except for trees
- trees are introduced considering their maximal depth
- equivalence with other recursive definition is formally proved
- inferences are implemented as conversions
- the decision procedure is implemented as a conversion

HOL embedding II

	LOC
deep embedding and inferences	approx. 10 000
special versions of inferences for conversions	approx. 2 500
conversions and decision procedure	approx. 2 000

example from list.sf

 $x_0 \neq \text{nil}, x_1 \neq \text{nil}, x_2 \neq \text{nil}, x_0 \neq x_3, x_0 \neq x_2, x_4 \neq x_5,$ $x_1 \neq x_3, x_1 \neq x_2, x_3 \neq x_2,$ $x_2 \hookrightarrow [hd : x_5, tl : x_3], ls(tl, x_3, \text{nil}), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \quad \vdash$
 $ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, \text{nil})$

example from list.sf

$\Pi,$

$$x_2 \hookrightarrow [hd : x_5, tl : x_3], ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \quad \vdash$$

$$ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil)$$

example inference application

$$\begin{array}{l} \Pi, x_2 \hookrightarrow [hd : x_5, tl : x_3], \\ ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \quad \vdash \\ ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil) \end{array}$$

example inference application

$$\begin{array}{l}
 \Pi, x_2 \hookrightarrow [hd : x_5, tl : x_3], \\
 ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \quad \vdash \quad \text{FRAME} \\
 ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil) \quad \iff
 \end{array}$$

$$\begin{array}{l}
 x_2, (x_3, nil), \Pi, ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \quad \vdash \\
 ls(tl, x_1, x_2)
 \end{array}$$

example inference application

$$\begin{array}{l} \Pi, x_2 \hookrightarrow [hd : x_5, tl : x_3], \\ ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \\ ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil) \end{array} \quad \vdash \quad \begin{array}{l} \text{FRAME} \\ \iff \end{array}$$

$$\begin{array}{l} x_2, (x_3, nil), \Pi, ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2] \\ ls(tl, x_1, x_2) \end{array} \quad \vdash \quad \begin{array}{l} \text{APPEND-LIST} \\ \iff \end{array}$$

$$\begin{array}{l} x_2, (x_3, nil), (x_1, x_0), \Pi, x_0 \hookrightarrow [tl, x_2] \\ ls(tl, x_0, x_2) \end{array} \quad \vdash$$

example inference application

$\Pi, x_2 \hookrightarrow [hd : x_5, tl : x_3],$ $ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil)$	\vdash	FRAME \iff
$x_2, (x_3, nil), \Pi, ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_1, x_2)$	\vdash	APPEND-LIST \iff
$x_2, (x_3, nil), (x_1, x_0), \Pi, x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_0, x_2)$	\vdash	SIMPLE-UNROLL \iff
$x_2, x_0, (x_3, nil), (x_1, x_0), \Pi$ $ls(tl, x_2, x_2)$	\vdash	

example inference application

$\Pi, x_2 \hookrightarrow [hd : x_5, tl : x_3],$ $ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil)$	\vdash	FRAME \iff
$x_2, (x_3, nil), \Pi, ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_1, x_2)$	\vdash	APPEND-LIST \iff
$x_2, (x_3, nil), (x_1, x_0), \Pi, x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_0, x_2)$	\vdash	SIMPLE-UNROLL \iff
$x_2, x_0, (x_3, nil), (x_1, x_0), \Pi$ $ls(tl, x_2, x_2)$	\vdash	REMOVE-TRIVIAL \iff
$x_2, x_0, (x_3, nil), (x_1, x_0), \Pi$	\vdash	

example inference application

$\Pi, x_2 \hookrightarrow [hd : x_5, tl : x_3],$ $ls(tl, x_3, nil), ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_1, x_2), x_2 \hookrightarrow [tl : x_3], ls(tl, x_3, nil)$	\vdash	FRAME \iff
$x_2, (x_3, nil), \Pi, ls(tl, x_1, x_0), x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_1, x_2)$	\vdash	APPEND-LIST \iff
$x_2, (x_3, nil), (x_1, x_0), \Pi, x_0 \hookrightarrow [tl, x_2]$ $ls(tl, x_0, x_2)$	\vdash	SIMPLE-UNROLL \iff
$x_2, x_0, (x_3, nil), (x_1, x_0), \Pi$ $ls(tl, x_2, x_2)$	\vdash	REMOVE-TRIVIAL \iff
$x_2, x_0, (x_3, nil), (x_1, x_0), \Pi$	\vdash	AXIOM \iff
\top		

example HOL

```
val t = ‘‘LIST_DS_ENTAILS ([], [])
  ([pf_unequal (dse_var 0) dse_nil;
   pf_unequal (dse_var 1) dse_nil;
   pf_unequal (dse_var 2) dse_nil;
   pf_unequal (dse_var 0) (dse_var 3);
   pf_unequal (dse_var 0) (dse_var 2);
   pf_unequal (dse_var 4) (dse_var 5);
   pf_unequal (dse_var 1) (dse_var 3);
   pf_unequal (dse_var 1) (dse_var 2);
   pf_unequal (dse_var 3) (dse_var 2)],
 [sf_points_to (dse_var 2) [("hd", dse_var 5); ("t1", dse_var 3)];
  sf_ls "t1" (dse_var 3) dse_nil;
  sf_ls "t1" (dse_var 1) (dse_var 0);
  sf_points_to (dse_var 0) [("t1", (dse_var 2))]])

([],
 [sf_ls "t1" (dse_var 1) (dse_var 2);
  sf_points_to (dse_var 2) [("t1", dse_var 3)];
  sf_ls "t1" (dse_var 3) dse_nil])‘‘;
```

example HOL II

```
val thm1 =
(ds_inference_FRAME___CONV THENC
 ds_inference_APPEND_LIST___CONV THENC
 ds_inference_SIMPLE_UNROLL___CONV THENC
 ds_inference_REMOVE_TRIVIAL___CONV THENC
 ds_inference_AXIOM___CONV) t;

val thm2 = ds_DECIDE_CONV t;
```

Conclusions

- there is a deep embedding of the decidable fragment of separation logic used by Smallfoot
- all inferences used by Smallfoot have been verified using HOL
- a decision procedure for entailments has been implemented

Future Work

- add symbolic execution to build a Smallfoot implementation in HOL
- extend the logic
- try interactive proofs for more complicated fragments of separation logic